# **Traces for Hilbert Complexes**

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on traces

those fields on submanifolds of  $\mathbb{R}^3$ . This issue is especially important on nonsmooth submanifolds. Another important issue is the mathematical measure of those fields,

#### 

#### Introduction



Traces for Hilbert Complexes

## OVERVIEW and BASIC IDEAS

paper in JFA 2023:

R. Hiptmair, D. Pauly, and E. Schulz: Traces for Hilbert Complexes



? Traces ?

Traces without any regularity of the domain?

Is this even possible?

even better:

? Traces ?

Traces without domains (or boundaries)?

## Traces

| $A: D(A) \subset H_0 \rightarrow H_1$ Id | ldc: lin, dendef, o | 51 |
|--|---------------------|----|
|--|---------------------|----|

## Traces for D(A)?

 $\Omega \subset \mathbb{R}^N$  Lipschitz:

very classical

 $D(A) = H^1$  or  $W^{1,p}$ , scalar trace  $u_s = u|_{\Gamma}$ 

classical (we stay in Hilbert spaces)

 $D(A) = H(curl) \text{ or } H(div), \text{ tan or nor traces } v_t = (\nu \times v \times \nu)|_{\Gamma}, v_n = (\nu \cdot v)|_{\Gamma}$ 

more recent (BGG, zoo of complexes)

 $D(A) = H(Curl^{T} Curl_{S}), H(div Div_{S}), H(sym Curl_{T}), \dots$  $\dots H(Curl Curl Curl), H(curl Div), H(Grad curl) \dots$ 

### traces?



## $\mathsf{A}: D(\mathsf{A}) \subset \mathsf{H}_0 \to \mathsf{H}_1 \qquad \mathsf{Iddc}$

Traces for D(A)?

 $\Omega \subset \mathbb{R}^N \text{ Lipschitz}$ 

What if less regularity? What if

Ω just open / no regularity and D(A) = H<sup>1</sup>(Ω), H(curl, Ω), H(div, Ω), ...?
no Ω at all, just D(A)?

## Traces

| $A: D(A) \subset H_0 \to H_1$     | lddc                        |
|-----------------------------------|-----------------------------|
| $A^*: D(A^*) \subset H_1 \to H_0$ | lddc, Hilbert space adjoint |

Traces for D(A)?

basic idea: integration by parts / extension of adjoints

$$\forall x \in D(\mathsf{A}) \quad \forall y \in D(\mathsf{A}^*) \qquad \left| \langle y, \mathsf{A} x \rangle_{\mathsf{H}_1} - \langle \mathsf{A}^* y, x \rangle_{\mathsf{H}_0} = 0 \right|$$

think of A = grad :  $D(A) = \mathring{H}^1 \subset L^2 \rightarrow L^2$ and A<sup>\*</sup> = - div :  $D(A^*) = H(div) \subset L^2 \rightarrow L^2$  $\langle y, grad x \rangle_{1^2} + \langle div y, x \rangle_{1^2} = 0$ 

## Traces

$$\begin{split} \mathring{A} &\subset A & \mbox{Iddc} \\ A^* &\subset A^\top \coloneqq \mathring{A}^* \quad (A^\top \mbox{ formal transpose of } A) & \mbox{Iddc, Hilbert space adjoints} \end{split}$$

Traces for 
$$D(A)$$
?

basic idea and setting: integration by parts / extension of adjoints

$$\exists x \in D(\mathsf{A}) \quad \exists y \in D(\mathsf{A}^{\top}) \qquad \langle y, \mathsf{A} x \rangle_{\mathsf{H}_{1}} - \langle \mathsf{A}^{\top} y, x \rangle_{\mathsf{H}_{0}} \neq 0$$

think of gråd = Å  $\subset$  A = grad and  $-div = grad^* = A^* \subset A^T = Å^* = gråd^* = -div$   $\langle y, grad x \rangle_{L^2(\Omega)} + \langle div y, x \rangle_{L^2(\Omega)} = \langle y_n, x_s \rangle_{-L^2(\Gamma)''} \neq 0$ for some  $x \in H^1$ ,  $y \in H(div)$ 

For simplicity of this talk: real Hilbert spaces

## Traces

| $\label{eq:constraint} \begin{array}{c} \mathring{A} \subset A \\ A^* \subset A^\top = \mathring{A}^* \\ (\mathring{A}, A^*) \text{ pair "with" boundary conditions} \\ (A, A^\top) \text{ pair "without" boundary conditions} \end{array}$ | lddc<br>lddc, Hilbert space adjoints<br>$(A, A^*), (\mathring{A}, A^T = \mathring{A}^*)$<br>dual/adjoint pairs             |  |
|---|--|--|
| Traces for $D(A)$ ?<br>basic idea and setting: integration by parts / extension of adjoints   |  |  |
| bd trace $\tau_{A} : D(A) \to D(A^{\top})',$<br>$x \mapsto \tau_{A} x$  | $\tau_{A} x(y) \coloneqq \langle y, A x \rangle_{H_1} - \langle A^\top y, x \rangle_{H_0}$ $x \in D(A), \ y \in D(A^\top)$ |  |
| bd dual trace $\tau_{A^{\top}} : D(A^{\top}) \to D(A)',$<br>$y \mapsto \tau_{A^{\top}} y$   | $\tau_{A^{\top}} y(x) \coloneqq \langle x, A^{\top} y \rangle_{H_{0}} - \langle A x, y \rangle_{H_{1}}$                    |  |
| note $\tau_{A^{T}} y(x) = -\tau_{A} x(y)$<br>equivalently bilinear form on $D(A) \times D(A^{T})$ resp. $D(A^{T}) \times D(A)$  |  |  |
| $\langle\!\langle x, y \rangle\!\rangle \coloneqq \tau_{A} x(y) = -\tau_{A^{T}} y(x) = \langle y, A x \rangle_{H_{1}} - \langle A^{T} y, x \rangle_{H_{0}}$   |  |  |

# Hilbert Complexes

## Traces for Hilbert Complexes

- We give Traces for Hilbert Complexes.
- On the other hand Hilbert Complexes are necessary for Traces.

Traces for Single Operators

Traces for Single Operators

# Traces for Single Operators (and Adjoints)

$$\mathring{A} \subset A \quad \text{and} \quad A^* \subset A^\top = \mathring{A}^* \text{ Iddc} \tag{Hilbert space adjoints}$$

| Traces for $D(A)$ and $D(A^{	op})$ — traces come always in pairs  |  |  |
|---|--|--|
| $\tau_{A} x(y) = \langle y, A x \rangle_{H_1} - \langle A^\top y, x \rangle_{H_0}$  |  |  |
| primal / dual traces $\tau_{A} : D(A) \to D(A^{\top})',  \tau_{A^{\top}} : D(A^{\top}) \to D(A)'$   |  |  |
| primal / dual adjoint traces $\tau'_{A}: D(A^{\scriptscriptstyle \top})'' \to D(A)',  \tau'_{A^{\scriptscriptstyle \top}}: D(A)'' \to D(A^{\scriptscriptstyle \top})'$  |  |  |
| $h^{\text{here}}$ $D(A) \cup D(A^{\top})$ are set for the probability of the set of th |  |  |

note: Hilbert spaces H <sup>instep</sup>  $D(A) \lor D(A^{\top})$  are self-dual (Riesz) and reflexive  $\Rightarrow$  isometric isomorphisms  $\rho_H : H \to H'$  and  $\iota_d : H \to H''$ 

## Theorem (kernels, boundedness, and adjoints)

• 
$$N(\tau_A) = D(\mathring{A})$$
 and  $N(\tau_{A^{\top}}) = D(A^*)$  and  $\|\tau_A\|, \|\tau_{A^{\top}}\| \le 1$ 

• 
$$\tau'_{\mathsf{A}}\iota_d = -\tau_{\mathsf{A}^{\top}}$$
 and  $\tau'_{\mathsf{A}^{\top}}\iota_d = -\tau_{\mathsf{A}^{\top}}$ 

## Remark **e**

$$\overline{R(\tau_{\mathsf{A}^{\top}})} = \overline{R(\tau_{\mathsf{A}}')} = N(\tau_{\mathsf{A}})^{\circ} = D(\mathring{\mathsf{A}})^{\circ} \text{ and } \overline{R(\tau_{\mathsf{A}})} = D(\mathsf{A}^{*})^{\circ}$$

# Traces for Single Operators (Riesz Isometric Isometries)

$$\mathring{A} \subset A$$
 and  $A^* \subset A^\top = \mathring{A}^*$  lddc (Hilbert space adjoints)

Let 
$$x \in D(A)$$
. What is / solves  
 $\check{y} := -\rho_{D(A^{\top})}^{-1} \tau_A x \in D(A^{\top})$  and  $\check{x} := A^{\top} \check{y}$ ?

## Lemma (extension / right inverse)

note:  $\left( \begin{bmatrix} 0 & -A^{\top} \\ A & 0 \end{bmatrix} + 1 \right) \begin{bmatrix} \breve{x} \\ \breve{y} \end{bmatrix} = 0$ 

(formally skew-symmetric)

# Traces for Single Operators (Riesz Isometric Isometries)

 $\label{eq:and_states} \mathring{A} \subset A \quad \text{ and } \quad A^* \subset A^\top = \mathring{A}^* \ \text{Iddc}$ 

(Hilbert space adjoints)

## Lemma (extensions / right inverses)

$$\begin{array}{ll} -\tau_{\mathsf{A}} \, \mathsf{A}^{\mathsf{T}} \, \rho_{D(\mathsf{A}^{\mathsf{T}})}^{-1} = \mathsf{id}_{R(\tau_{\mathsf{A}})} & \text{and} & \check{\tau}_{\mathsf{A}} \coloneqq -\mathsf{A}^{\mathsf{T}} \, \rho_{D(\mathsf{A}^{\mathsf{T}})}^{-1} \text{ right inverse of } \tau_{\mathsf{A}} \text{ on } R(\tau_{\mathsf{A}}) \\ -\tau_{\mathsf{A}^{\mathsf{T}}} \, \mathsf{A} \, \rho_{D(\mathsf{A})}^{-1} = \mathsf{id}_{R(\tau_{\mathsf{A}^{\mathsf{T}}})} & \text{and} & \check{\tau}_{\mathsf{A}^{\mathsf{T}}} \coloneqq -\mathsf{A} \, \rho_{D(\mathsf{A})}^{-1} \text{ right inverse of } \tau_{\mathsf{A}^{\mathsf{T}}} \text{ on } R(\tau_{\mathsf{A}^{\mathsf{T}}}) \end{array}$$

## Definition (extensions / right inverses)

Let 
$$\phi \in R(\tau_{A})$$
 and  $\psi \in R(\tau_{A^{T}})$ . We call:  
•  $\check{\phi} = -\rho_{D(A^{T})}^{-1}\phi \in N(AA^{T}+1)$  harm Neumann ext of  $\phi$  since  $\tau_{A}A^{T}\check{\phi} = \phi$   
•  $\check{\phi} = A^{T}\check{\phi} = -A^{T}\rho_{D(A^{T})}^{-1}\phi \in N(A^{T}A+1)$  harm Dirichlet ext of  $\phi$  since  $\tau_{A}\check{\phi} = \phi$   
•  $\check{\psi} = -\rho_{D(A)}^{-1}\psi \in N(A^{T}A+1)$  harm Neumann ext of  $\psi$  since  $\tau_{A^{T}}A\check{\psi} = \psi$   
•  $\check{\psi} = A\check{\psi} = -A\rho_{D(A)}^{-1}\psi \in N(AA^{T}+1)$  harm Dirichlet ext of  $\psi$  since  $\tau_{A^{T}}\check{\psi} = \psi$ 

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# Traces for Single Operators

$$\text{\AA} \subset \text{A}$$
 and  $\text{A}^* \subset \text{A}^\top = \text{\AA}^*$  (Iddc)

Theorem (kernels, ranges = annihilators)

•  $N(\tau_A) = D(\mathring{A})$  •  $R(\tau_A) = D(A^*)^\circ = \{\Phi \in D(A^T)' : D(A^*) \subset N(\Phi)\}$ 

• 
$$N(\tau_{A^{\top}}) = D(A^*)$$
 •  $R(\tau_{A^{\top}}) = D(\mathring{A})^\circ = \{\Phi \in D(A)' : D(\mathring{A}) \subset N(\Phi)\}$   
n particular, the kernels and ranges are closed.

### Definition and Lemma (trace spaces)

• 
$$T(\mathsf{A}) \coloneqq D(\mathring{\mathsf{A}})^{\perp_{D(\mathsf{A})}} = N(\mathsf{A}^{\top} \mathsf{A} + 1) \cong D(\tau_{\mathsf{A}})/N(\tau_{\mathsf{A}}) = D(\mathsf{A})/D(\mathring{\mathsf{A}}) =: \mathcal{T}(\mathsf{A})$$

•  $T(\mathsf{A}^{\mathsf{T}}) \coloneqq D(\mathsf{A}^{\mathsf{T}})^{\perp} D(\mathsf{A}^{\mathsf{T}}) = N(\mathsf{A}\mathsf{A}^{\mathsf{T}}+1) \cong D(\tau_{\mathsf{A}^{\mathsf{T}}})/N(\tau_{\mathsf{A}^{\mathsf{T}}}) = D(\mathsf{A}^{\mathsf{T}})/D(\mathsf{A}^{\mathsf{T}}) = \mathcal{T}(\mathsf{A}^{\mathsf{T}})$ 

 $\Rightarrow \text{ red traces } \hat{\tau}_{\mathsf{A}} \coloneqq \tau_{\mathsf{A}}|_{\mathcal{T}(\mathsf{A})} \colon \mathcal{T}(\mathsf{A}) \to \mathcal{R}(\tau_{\mathsf{A}})$ 

$$\widehat{\tau}_{\mathsf{A}^{\mathsf{T}}} \coloneqq \tau_{\mathsf{A}^{\mathsf{T}}} |_{T(\mathsf{A}^{\mathsf{T}})} \colon T(\mathsf{A}^{\mathsf{T}}) \to R(\tau_{\mathsf{A}^{\mathsf{T}}})$$

Theorem (ranges and trace isometries)

$$R(\hat{\tau}_{\mathsf{A}}) = R(\tau_{\mathsf{A}}) = D(\mathsf{A}^*)^\circ = \rho_{D(\mathsf{A}^\top)} T(\mathsf{A}^\top) = T(\mathsf{A}^\top)'$$

$$R(\hat{\tau}_{\mathsf{A}^{\mathsf{T}}}) = R(\tau_{\mathsf{A}^{\mathsf{T}}}) = D(\mathring{\mathsf{A}})^{\circ} = \rho_{D(\mathsf{A})}T(\mathsf{A}) = T(\mathsf{A})'$$

The reduced traces are isometric isomorphisms.

# Traces for Single Operators

$$A \subset A$$
 and  $A^* \subset A^\top = A^*$  (Iddc)

## Remark (trace /Riesz isometric isomorphisms →)

$$\tau_{\mathsf{A}}: D(\mathsf{A}) \to R(\tau_{\mathsf{A}}) \subset D(\mathsf{A}^{\mathsf{T}})',$$

$$\tau_{\mathsf{A}^{\mathsf{T}}}: D(\mathsf{A}^{\mathsf{'}}) \to R(\tau_{\mathsf{A}^{\mathsf{T}}}) \subset D(\mathsf{A})^{\mathsf{'}},$$

$$\widehat{\tau}_{\mathsf{A}} = \tau_{\mathsf{A}}|_{\mathcal{T}(\mathsf{A})} : \mathcal{T}(\mathsf{A}) \twoheadrightarrow \mathcal{R}(\tau_{\mathsf{A}}) = \mathcal{T}(\mathsf{A}^{\mathsf{T}})',$$

$$\hat{\tau}_{\mathsf{A}^{\mathsf{T}}} = \tau_{\mathsf{A}^{\mathsf{T}}}|_{T(\mathsf{A}^{\mathsf{T}})} : T(\mathsf{A}^{\mathsf{T}}) \twoheadrightarrow R(\tau_{\mathsf{A}^{\mathsf{T}}}) = T(\mathsf{A})'$$

$$\rho_{A} \coloneqq \rho_{D(A)} \colon D(A) \twoheadrightarrow D(A)'$$
$$\rho_{A^{\mathsf{T}}} \coloneqq \rho_{D(A^{\mathsf{T}})} \colon D(A^{\mathsf{T}}) \twoheadrightarrow D(A^{\mathsf{T}})'$$
$$\hat{\rho}_{A} \coloneqq \rho_{A}|_{\mathcal{T}(A)} \colon \mathcal{T}(A) \twoheadrightarrow \mathcal{T}(A)'$$

$$\hat{\rho}_{\mathsf{A}^{\mathsf{T}}} \coloneqq \rho_{\mathsf{A}^{\mathsf{T}}}|_{T(\mathsf{A}^{\mathsf{T}})} \coloneqq T(\mathsf{A}^{\mathsf{T}}) \twoheadrightarrow T(\mathsf{A}^{\mathsf{T}})'$$

$$\begin{split} R(\tau_{\mathsf{A}}) &= R(\hat{\tau}_{\mathsf{A}}) = R(\hat{\rho}_{\mathsf{A}^{\top}}) = T(\mathsf{A}^{\top})', \qquad \hat{\tau}_{\mathsf{A}} : T(\mathsf{A}) \twoheadrightarrow T(\mathsf{A}^{\top})', \qquad \hat{\rho}_{\mathsf{A}^{\top}} : T(\mathsf{A}^{\top}) \twoheadrightarrow T(\mathsf{A}^{\top})'\\ R(\tau_{\mathsf{A}^{\top}}) &= R(\hat{\tau}_{\mathsf{A}^{\top}}) = R(\hat{\rho}_{\mathsf{A}}) = T(\mathsf{A})', \qquad \hat{\tau}_{\mathsf{A}^{\top}} : T(\mathsf{A}^{\top}) \twoheadrightarrow T(\mathsf{A})', \qquad \hat{\rho}_{\mathsf{A}} : T(\mathsf{A}) \twoheadrightarrow T(\mathsf{A})' \end{split}$$

| $\check{\tau}_{A} \coloneqq \hat{\tau}_{A}^{-1} \colon T(A^{T})' \twoheadrightarrow T(A),$         | $\check{\rho}_{A^{T}} \coloneqq \hat{\rho}_{A^{T}}^{-1} : T(A^{T})' \twoheadrightarrow T(A^{T})$ |
|--|--|
| $\check{\tau}_{A^{T}} \coloneqq \hat{\tau}_{A^{T}}^{-1} \colon T(A)' \twoheadrightarrow T(A^{T}),$ | $\check{\rho}_{A} \coloneqq \hat{\rho}_{A}^{-1} \colon T(A)' \twoheadrightarrow T(A)$            |

## Remark

Continuity of traces and extensions for free!

(no ass on R(A) or domains  $\Omega$ )

 $\wedge$ 

EVEN ISOMETRIES

 $\triangle$ 

#### Traces

/!\

# Traces for Single Operators

$$\mathring{A} \subset A$$
 and  $A^* \subset A^{\top} = \mathring{A}^*$  (Iddc)

Continuity/isometry of traces and extensions for free! (no ass on R(A) or domains  $\Omega$ )

NO compact embeddings or Friedrichs/Poincaré type estimates NEEDED

## We do NOT need:

e.g.:  $\Omega \subset \mathbb{R}^3$  bd, weak Lip (compact embeddings) (Weck 1972/'74, Weber 1980, Picard 1984)  $H^1(\Omega) \rightsquigarrow L^2(\Omega)$  or  $\mathring{H}(curl, \Omega) \cap H(div, \Omega) \rightsquigarrow L^2(\Omega)$ or even weaker (Friedrichs/Poincaré type estimate  $\Leftrightarrow$  closed range)  $\Omega$  (weak Lip, bd in ONE direction)  $\Rightarrow R(grad)$  and R(div) closed  $\Omega$  (weak Lip, bd in TWO directions)  $\Rightarrow R(curl)$  and R(curl) closed

 $\Omega$  (weak Lip, bd in THREE directions)  $\Rightarrow$  R(div) and R(grad) closed

# Traces for Single Operators

$$\mathring{A} \subset A$$
 and  $A^* \subset A^\top = \mathring{A}^*$  (Iddc)

Theorem (trace /Riesz isometric isomorphisms →)

 $T(\mathsf{A})' \cong_{\widehat{\rho}_{\mathsf{A}}} T(\mathsf{A}) \cong_{\widehat{\tau}_{\mathsf{A}}} T(\mathsf{A}^{\top})', \qquad T(\mathsf{A}^{\top})' \cong_{\widehat{\rho}_{\mathsf{A}^{\top}}} T(\mathsf{A}^{\top}) \cong_{\widehat{\tau}_{\mathsf{A}^{\top}}} T(\mathsf{A})'$ 

bilinear (sesquilinear) forms on  $T(A) \times T(A^{\top})$  or  $D(A) \times D(A^{\top})$ 

$$\begin{aligned} \langle \langle x, y \rangle \rangle &\coloneqq \langle \langle x, y \rangle \rangle_{\tau} \coloneqq \tau_{\mathsf{A}} x(y) = -\tau_{\mathsf{A}^{\top}} y(x) = \langle \mathsf{A} x, y \rangle_{\mathsf{H}_{1}} - \langle x, \mathsf{A}^{\top} y \rangle_{\mathsf{H}_{0}}, \\ \langle \langle x, y \rangle \rangle_{\rho} &\coloneqq \rho_{\mathsf{A}} x(y) = \langle x, y \rangle_{D(\mathsf{A})} = \langle x, y \rangle_{\mathsf{H}_{0}} + \langle \mathsf{A} x, \mathsf{A} y \rangle_{\mathsf{H}_{1}} \end{aligned}$$

Corollary ("integration by parts")

$$\langle \mathsf{A} x, y \rangle_{\mathsf{H}_1} = \langle x, \mathsf{A}^\top y \rangle_{\mathsf{H}_0} + \langle \langle x, y \rangle \rangle$$

# Traces for Single Operators

$$\mathring{A} \subset A$$
 and  $A^* \subset A^\top = \mathring{A}^*$  (Iddc)

## 

$$\mathcal{T}(\mathsf{A}) \xleftarrow{\iota_{q}} \mathcal{T}(\mathsf{A}) \xleftarrow{\tau_{1}} \mathcal{T}(\mathsf{A}) \xrightarrow{\tau_{1}} \mathcal{T}(\mathsf{A}) \xrightarrow{\tau_{1}} \mathcal{T}(\mathsf{A}) = \mathcal{T}(\mathsf{A}^{\mathsf{T}})'$$

$$\stackrel{\widehat{\rho}_{\mathsf{A}}}{\xrightarrow{\rho_{\mathsf{A}}}} \mathcal{T}(\mathsf{A})' = \mathcal{R}(\tau_{\mathsf{A}^{\mathsf{T}}}) \xleftarrow{\widehat{\tau}_{\mathsf{A}^{\mathsf{T}}}} \mathcal{T}(\mathsf{A}^{\mathsf{T}}) \xrightarrow{\iota_{q}} \mathcal{T}(\mathsf{A}^{\mathsf{T}})$$

$$\stackrel{\widehat{\tau}_{\mathsf{A}^{\mathsf{T}}}}{\xrightarrow{\Gamma_{\mathsf{A}^{\mathsf{T}}}}} \mathcal{D}(\mathsf{A}^{\mathsf{T}})$$

$$D(A) = D(Å) \oplus_{D(A)} T(A)$$
$$[x_{\perp}] = [x] \text{ and } \tau_A x_{\perp} = \tau_A x = \tau_A [x]$$

# Traces for Single Operators

$$\mathring{A} \subset A$$
 and  $A^* \subset A^\top = \mathring{A}^*$  (Iddc)

## 



 $\widehat{A} := A|_{T(A)}$ 

#### Traces

# Traces for Single Operators

$$\mathring{A} \subset A$$
 and  $A^* \subset A^\top = \mathring{A}^*$  (Iddc)





"on 
$$T(\mathbf{A}) = N(\mathbf{A}^{\mathsf{T}} \mathbf{A} + 1)$$
 and  $T(\mathbf{A}^{\mathsf{T}}) = N(\mathbf{A}\mathbf{A}^{\mathsf{T}} + 1)$ ":  
 $\check{\tau}_{\mathbf{A}} = -\widehat{\mathbf{A}}^{\mathsf{T}}\check{\rho}_{\mathbf{A}^{\mathsf{T}}} \qquad \widehat{\tau}_{\mathbf{A}} = \widehat{\rho}_{\mathbf{A}^{\mathsf{T}}}\widehat{\mathbf{A}} \qquad \widehat{\mathbf{A}}^{-1} = -\widehat{\mathbf{A}}^{\mathsf{T}} \qquad \widehat{\mathbf{A}} = \mathbf{A}|_{T(\mathbf{A})}$ 
 $\check{\tau}_{\mathbf{A}^{\mathsf{T}}} = -\widehat{\mathbf{A}}\check{\rho}_{\mathbf{A}} \qquad \widehat{\tau}_{\mathbf{A}^{\mathsf{T}}} = \widehat{\rho}_{\mathbf{A}}\widehat{\mathbf{A}}^{\mathsf{T}} \qquad (\widehat{\mathbf{A}}^{\mathsf{T}})^{-1} = -\widehat{\mathbf{A}} \qquad \widehat{\mathbf{A}}^{\mathsf{T}} = \mathbf{A}^{\mathsf{T}}|_{T(\mathbf{A}^{\mathsf{T}})}$ 

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# Traces for Single Operators

$$\mathring{A} \subset A$$
 and  $A^* \subset A^\top = \mathring{A}^*$  (Iddc)

Theorem (kernels and ranges of traces / isometric isomorphisms)

- $N(\tau_A) = D(Å)$
- $R(\tau_A) = R(\hat{\tau}_A) = D(A^*)^\circ = R(\hat{\rho}_{A^\top}) = T(A^\top)'$
- $T(\mathbf{A}) = D(\mathbf{A})^{\perp D(\mathbf{A})} = N(\mathbf{A}^{\top} \mathbf{A} + 1)$
- $T(A) \cong \mathcal{T}(A) = D(A)/D(Å)$

• 
$$N(\tau_{\mathsf{A}^{\mathsf{T}}}) = D(\mathsf{A}^*)$$

$$R(\tau_{\mathsf{A}^{\mathsf{T}}}) = R(\hat{\tau}_{\mathsf{A}^{\mathsf{T}}}) = D(\mathring{\mathsf{A}})^{\circ} = R(\hat{\rho}_{\mathsf{A}}) = T(\mathsf{A})'$$

• 
$$T(A^{T}) = D(A^{*})^{\perp}D(A^{T}) = N(AA^{T}+1)$$

• 
$$T(A^{\top}) \cong \mathcal{T}(A^{\top}) = D(A^{\top})/D(A^{*})$$

## Remark (summary)

- trace ranges are annihilators of trace kernels
- trace ranges are duals of reduced transpose trace spaces
- trace spaces are kernels  $N(A^T A + 1)$  and  $N(AA^T + 1)$
- trace spaces are orthogonal complements of trace kernels
- trace spaces are minimal norm extensions
- trace spaces are quotient spaces of trace kernels

#### note:

- elements of the trace spaces are "smooth"
- regularity is never a problem
- integrability is always the problem

(—) (—)

("harmonic fields")

(regularity not a good term)

Traces and "Surface Differential" Operators

Traces for Hilbert Complexes

so far NO (Hilbert) complexes

Traces and "Surface Differential" Operators

Traces for Hilbert Complexes

Traces for Hilbert Complexes

Traces and "Surface Differential" Operators

# Traces for Hilbert Complexes

 $\cdots \xrightarrow{\cdots} H_0 \xleftarrow{\tilde{A}_0}_{A_0^\top = \tilde{A}_0^*} H_1 \xleftarrow{\tilde{A}_1}_{A_1^\top = \tilde{A}_1^*} H_2 \xrightarrow{\cdots} \cdots$  (unbd prim/dual HilComs)

$$\cdots \xrightarrow[]{\dots} H_0 \xrightarrow[]{A_0} H_1 \xrightarrow[]{A_1} H_2 \xrightarrow[]{\dots} \cdots$$
 (unbd prim/dual HilComs)

$$\begin{array}{c} \cdots & \stackrel{\cdots}{\longrightarrow} & D(\mathsf{A}_0) & \stackrel{\mathsf{A}_0}{\longrightarrow} & D(\mathsf{A}_1) & \stackrel{\mathsf{A}_1}{\longrightarrow} & D(\mathsf{A}_2) & \stackrel{\cdots}{\longrightarrow} & \cdots \\ \cdots & \longleftarrow & D(\mathsf{A}_0)' & \stackrel{\bullet}{\longleftarrow} & D(\mathsf{A}_1)' & \stackrel{\bullet}{\longleftarrow} & D(\mathsf{A}_2)' & \longleftarrow & (\text{bd adjoint DomCom}) \end{array}$$

$$\begin{array}{l} \bullet \quad \mathring{A}_{\ell} \subset A_{\ell} \quad \text{and} \quad A_{\ell}^{*} \subset A_{\ell}^{\top} = \mathring{A}_{\ell}^{*} \\ \bullet \quad R(\mathring{A}_{0}) \subset N(\mathring{A}_{1}), \quad R(A_{0}) \subset N(A_{1}) \\ \bullet \quad R(A_{1}^{*}) \subset N(A_{0}^{*}), \quad R(A_{1}^{\top}) \subset N(A_{0}^{\top}) \end{array}$$
 (dual HilComs)

• 
$$R(A'_1) \subset N(A'_0), \quad R(A_0^{\top \prime}) \subset N(A_1^{\top \prime})$$
 (adjoint HilComs)

$$\begin{array}{ll} \text{note} & A_n^\top \,' : D(A_{n-1}^\top)' \to D(A_n^\top)' \\ \Rightarrow & A_n^\top \,' \stackrel{?}{\neq} A_n : D(A_n) \to D(A_{n+1}) & \text{but} & A_n^\top \,' \stackrel{?}{\cong} A_{n-1} : D(A_{n-1}) \to D(A_n) \end{array}$$
(index shift)

Traces and "Surface Differential" Operators

# Traces for Hilbert Complexes

$$A_{\ell} \subset A_{\ell}$$
 and  $R(\ldots) \subset N(\ldots)$ 

$$\begin{array}{c|c} A_0: D(A_0) \rightarrow D(A_1) \\ \hline \tau_{A_0}: D(A_0) \rightarrow D(A_0^{\top})' \\ A_1^{\top}: D(A_0^{\top})' \rightarrow D(A_0^{\top})' \\ \end{array} \begin{array}{c|c} A_1^{\top}: D(A_1^{\top}) \rightarrow D(A_0^{\top}) \\ \hline \tau_{A_1^{\top}}: D(A_1^{\top}) \rightarrow D(A_1)' \\ A_0': D(A_1)' \rightarrow D(A_0)' \\ \end{array} \begin{array}{c|c} (\text{vol diff ops}) \\ (\text{trace ops}) \\ \hline r_{A_1^{\top}}: D(A_0)' \\ \end{array}$$

Theorem (surface differential operators / commutators with traces)

$$\tau_{A_1} A_0 = -A_1^{\top} \tau_{A_0}$$
 and  $\tau_{A_0^{\top}} A_1^{\top} = -A_0' \tau_{A_1^{\top}}$ 

## Theorem (integration by parts ...)

$$\begin{array}{l} \bullet \dots \text{ on domains: } x \in D(A), y \in D(A^{\top}) \text{ or } x \in T(A), y \in T(A^{\top}) \implies (A \times, y)_{H_{1}} = (x, A^{\top} y)_{H_{0}} + ((x, y)) \\ \bullet \dots \text{ on trace domains} \hline \tau_{A_{1}} A_{0} = -A_{1}^{\top} (\tau_{A_{0}}) x \in D(A_{0}), z \in D(A_{1}^{\top}) \implies ((A_{0} \times, z))_{1} = \tau_{A_{1}}(A_{0} \times)(z) = -\tau_{A_{0}}(x)(A_{1}^{\top} z) = -((x, A_{1}^{\top} z))_{0} \\ \bullet \dots \text{ on trace spaces} \hline \widehat{\tau}_{A_{1}} \pi_{\perp} \widehat{A_{0}} = -A_{1}^{\top} (\pi_{\perp}' \widehat{\tau}_{A_{0}}) x \in T(A_{0}), z \in T(A_{1}^{\top}) \implies ((\pi_{\perp} \widehat{A_{0}} \times, z))_{1} = \widehat{\tau}_{A_{1}} (\pi_{\perp} \widehat{A_{0}} \times)(z) = -\widehat{\tau}_{A_{0}}(x)(\pi_{\perp} \widehat{A_{1}^{\top}} z) = -((x, \pi_{\perp} \widehat{A_{1}^{\top}} z))_{0} \\ \bullet \dots \text{ on trace ranges} \hline \widehat{A_{1}^{\top} (z)} = -\widehat{\tau}_{A_{1}} (\widehat{\tau}_{A_{0}}^{\top} (\widehat{A_{0}} \vee))_{U_{d}} \widehat{\tau}_{A_{0}} \varphi \in R(\tau_{A_{0}}), \psi \in R(\tau_{A_{1}^{\top}}) \implies ((\widehat{A_{1}^{\top} (\varphi, \psi)})_{1} = ((\widehat{\tau}_{A_{1}} \widehat{A_{1}^{\top}} \vee))_{1} = -((\widehat{\tau}_{A_{0}} \varphi, \overline{\tau}_{A_{0}^{\top}} \widehat{A_{0}} \vee))_{0} = -(((\varphi, \widehat{A_{0}^{\vee}} \psi)))_{0} \\ \end{array}$$

Traces and "Surface Differential" Operators



Traces and "Surface Differential" Operators

## Traces for Hilbert Complexes

$$\check{\mathsf{A}}_{\ell} \subset \mathsf{A}_{\ell}$$
 and  $R(\dots) \subset N(\dots)$ 



#### Happy Birthday



# Dear Patrick ...

# Bon Anniversaire!

Traces for Hilbert Complexes

... to be continued ...

Appendix

PC60, IHP, 17-06-2025

Regular Subspaces and Their Duals / Trace Hilbert Complexes

Regular Subspaces and Duals

"Regular Subspaces" and Duals

PC60, IHP, 17-06-2025

(duals)

Regular Subspaces and Their Duals / Trace Hilbert Complexes

## Regular Subspaces and Duals

$$\mathsf{A}_0':D(\mathsf{A}_1)'\to D(\mathsf{A}_0)'$$

- $H_1^+ \subset D(A_1) \subset H_1$  (bd dense embs of reg subsps)
- $D(A_1) = H_1^+ + A_0 H_0^+$

(bd reg deco ops) (bd dense embs)

- $H_0^+(A_0) = \{x \in H_0^+ : A_0 x \in H_1^+\} \subset H_0^+ \subset D(A_0) \subset H_0$ •  $\hat{H}_0^- = H_0^+ '$
- $H_1^+ \subset D(A_1) \cap D(A_0^\top) \subset H_1$  (bd dense embs of reg subsps)

Characterisation of Dual Spaces by Regular Subspaces

## Characterisation of Dual Spaces by "Regular Subspaces"

# Characterisation of Dual Spaces by Regular Subspaces

Theorem (Characterisation of Dual Spaces by Regular Subspaces)

$$D(A_{1})' = \mathring{H}_{1}^{-}(A'_{0}) = \{\psi \in \mathring{H}_{1}^{-} : A'_{0}\psi \in \mathring{H}_{0}^{-}\}$$
$$D(A_{0}^{-})' = \mathring{H}_{1}^{-}(A_{1}^{-}') = \{\psi \in \mathring{H}_{1}^{-} : A_{1}^{-}\psi \in \mathring{H}_{2}^{-}\}$$

with equivalent norms.

Theorem (Characterisation of Dual Spaces by Regular Subspaces)

$$D(\text{\AA}_{1})' = \text{H}_{1}^{-}(\text{\AA}_{0}') = \{\psi \in \text{H}_{1}^{-} : \text{\AA}_{0}'\psi \in \text{H}_{0}^{-}\}$$
$$D(\text{\AA}_{0}')' = \text{H}_{1}^{-}(\text{\AA}_{1}'') = \{\psi \in \text{H}_{1}^{-} : \text{\AA}_{1}''\psi \in \text{H}_{2}^{-}\}$$

with equivalent norms.

Characterisation of Trace Ranges by Regular Subspaces

## Characterisation of Trace Ranges by "Regular Subspaces"

## Characterisation of Trace Ranges by Regular Subspaces

 $\text{recall traces: } \tau_{\mathsf{A}_0}: D(\mathsf{A}_0) \to D(\mathsf{A}_0^{\scriptscriptstyle \top})', \qquad \tau_{\mathsf{A}_1^{\scriptscriptstyle \top}}: D(\mathsf{A}_1^{\scriptscriptstyle \top}) \to D(\mathsf{A}_1)'$ 

• 
$$N(\tau_{A_1^{\mathsf{T}}}) = D(A_1^{\mathsf{T}})$$
 •  $R(\tau_{A_1^{\mathsf{T}}}) = D(\mathring{A}_1)^\circ = \{\psi \in D(A_1)' : \psi|_{D(\mathring{A}_1)} = 0\}$ 

• 
$$N(\tau_{A_0}) = D(A_0)$$
 •  $R(\tau_{A_0}) = D(A_0^*)^\circ = \{\psi \in D(A_0^\top)' : \psi|_{D(A_0^*)} = 0\}$ 

density of 
$$\mathring{H}_1^+ \subset D(\mathring{A}_1)$$
 and  $\mathring{H}_1^+ \subset D(A_0^*) \Rightarrow$ 

• 
$$R(\tau_{A_1^{\top}}) = \mathring{H}_1^{+\circ}$$
 as closed subspace of  $D(A_1)'$ 

• 
$$R(\tau_{A_0}) = \overset{*}{\mathsf{H}_1^+}{}^{\circ}$$
 as closed subspace of  $D(\mathsf{A}_0^\top)'$ 

⇒ more detailed

## Theorem (Characterisation of Trace Ranges by Regular Subspaces)

$$\begin{split} &R(\tau_{\mathsf{A}_{1}^{\mathsf{T}}}) = D(\mathsf{A}_{1})' \cap D(\mathring{\mathsf{A}}_{1})^{\circ} = \mathring{\mathsf{H}}_{1}^{-}(\mathsf{A}_{0}') \cap \mathring{\mathsf{H}}_{1}^{+\circ} = \{\psi \in \mathring{\mathsf{H}}_{1}^{-} : \mathsf{A}_{0}' \psi \in \mathring{\mathsf{H}}_{0}^{-} \land \psi|_{\mathring{\mathsf{H}}_{1}^{+}} = 0\} \\ &R(\tau_{\mathsf{A}_{0}}) = D(\mathsf{A}_{0}^{\mathsf{T}})' \cap D(\mathsf{A}_{0}^{*})^{\circ} = \mathring{\mathsf{H}}_{1}^{-}(\mathsf{A}_{1}^{\mathsf{T}}') \cap \mathring{\mathsf{H}}_{1}^{+\circ} = \{\psi \in \mathring{\mathsf{H}}_{1}^{-} : \mathsf{A}_{1}^{\mathsf{T}}' \psi \in \mathring{\mathsf{H}}_{2}^{-} \land \psi|_{\mathring{\mathsf{H}}_{1}^{+}} = 0\} \end{split}$$

with equivalent norms.

Trace Hilbert Complexes

Hilbert Complexes of Traces and Trace Spaces

# Trace Hilbert Complexes

Hilbert Complexes of Traces and Trace Spaces

... to be continued ...

• different unbounded versions of "surface differential operators"

$$\cdots \xrightarrow{\cdots} D(\mathsf{A}_0^{\mathsf{T}})' \xrightarrow{\mathsf{A}_1^{\mathsf{T}} '} D(\mathsf{A}_1^{\mathsf{T}})' \xrightarrow{\mathsf{A}_2^{\mathsf{T}} '} D(\mathsf{A}_2^{\mathsf{T}})' \xrightarrow{\cdots} \cdots$$
$$\cdots \xleftarrow{\cdots} D(\mathsf{A}_1)' \xleftarrow{\mathsf{A}_1'} D(\mathsf{A}_2)' \xleftarrow{\mathsf{A}_2'} D(\mathsf{A}_3)' \xleftarrow{\cdots} \cdots$$

$$\begin{array}{c} \cdots & \stackrel{\cdots}{\longrightarrow} & \overset{*}{H_{1}^{+\circ}} & \stackrel{A_{1}^{\top} \, \prime}{\longrightarrow} & \overset{*}{H_{2}^{\circ}} & \stackrel{A_{2}^{\top} \, \prime}{\longrightarrow} & \overset{*}{H_{3}^{+\circ}} & \stackrel{\cdots}{\longrightarrow} & \cdots \\ \cdots & \longleftarrow & \overset{*}{\longleftarrow} & \overset{*}{H_{1}^{+\circ}} & \overset{*}{\longleftarrow} & \overset{*}{H_{2}^{+\circ}} & \overset{*}{\longleftarrow} & \overset{*}{\longleftarrow} & \overset{*}{\longleftarrow} & \cdots \end{array}$$

$$\cdots \xleftarrow{\cdots}{\stackrel{\cdots}{\longleftarrow}} \mathring{H}_1^- \xleftarrow{A_1^\top {}'}{\stackrel{A_1^\top {}'}{\stackrel{A_1^\top {}'}{\stackrel{A_1^\top {}'}{\stackrel{A_2^\top {}'}{\stackrel{A_2^\top {}'}{\stackrel{A_2^\top {}'}{\stackrel{A_2^\top {}'}{\stackrel{A_2^\top {}'}{\stackrel{A_2^\top {}'}{\stackrel{\cdots}{\stackrel{\cdots}}}}}} \mathring{H}_3^- \xleftarrow{\cdots}{\stackrel{\cdots}{\stackrel{\cdots}{\stackrel{\cdots}{\stackrel{\cdots}}}} \cdots$$

- compact embeddings for trace Hilbert complexes
- boundary value problems on trace Hilbert complexes

three interpretations

$$\begin{aligned} D(A_{n+1}^{\top}') &= R(\tau_{A_n}) \subset D(A_n^{\top})' \\ D(A_n') &= R(\tau_{A_{n+1}}^{\top}) \subset D(A_{n+1})' \\ R(\tau_{A_0}) &= R^{\dagger \uparrow \circ} \text{ cl sbsp of both } D(A_0^{\top})' \subset H_1^{-} \\ A_1^{\top}' &: R(\tau_{A_0}) \to R(\tau_{A_1}) \\ R(\tau_{A_n}) \subset R^{\dagger + \circ}_{n+1} \subset H_{n+1}^{-} \\ R(\tau_{A_0}) &= D(A_0^{\top})' \cap D(A_0^{\dagger})^{\circ} \\ &= H_1^{-}(A_1^{\top}') \cap H_1^{+ \circ} \\ &= \{\psi \in H_1^{-} : A_1^{\top}' \psi \in H_2^{-} \land \psi|_{H_1^{+}} = 0\} \\ &= \underbrace{\{\psi \in H_1^{+ \circ} : A_1^{\top}' \psi \in H_2^{+ \circ}\}}_{=:H_1^{+ \circ} (A_1^{\top}')} \end{aligned}$$